

NAPISC SFEB 174410:04

February 7, 2017

New Hampshire Public Utilities Commission Executive Director 21 South Fruit Street, Suite 10 Concord, NH 03301

Re: Constellation NewEnergy, Inc.'s Application for Renewal to be a Competitive Electric Power Supplier in the State of New Hampshire

Dear Executive Director:

Constellation NewEnergy, Inc. has a current registration as a competitive electric power supplier in New Hampshire, which is set to expire in April 2017. Please find enclosed the following information for our renewal:

- Original Application for renewal and two copies
- Check for \$250 renewal fee
- Confidential aggregator report

If there are any questions or additional information required regarding Constellation NewEnergy, Inc.'s application for renewal please contact Amy Klaviter at 312-681-1855 or by email at amy.klaviter@constellation.com.

Sincerely,

Catherine Stanley

Analyst, Legal Compliance

Enclosures

cc: executive.director@puc.nh.gov

<u>Constellation NewEnergy, Inc.'s Renewal Application to become a Competitive</u> Electric Power Supplier in the State of New Hampshire

1. The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state, and, if available, its website address;

Constellation NewEnergy, Inc.

www.constellation.com

2. The applicant's business address, telephone number, e-mail address, and website address, as applicable;

Constellation NewEnergy, Inc.

1310 Point Street Baltimore, MD 21231

Telephone: 888-635-0827

Website: www.constellation.com

- 3. The applicant's place of incorporation, if anything other than an individual;

 Delaware
- 4. The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual, or of the applicant's principal(s) if the applicant is anything other than an individual;

See Exhibit 1

- 5. The following regarding any affiliate and/or subsidiary of the applicant that is conducting business in New Hampshire:
 - a. The name, business address and telephone number of the entity;
 - b. A description of the business purpose of the entity; and
 - c. A description of any agreements with any affiliated New Hampshire utility; Constellation NewEnergy, Inc.'s affiliate, Constellation Energy Services, Inc., conducts business in New Hampshire. Constellation Energy Services, Inc. is also a retail electric supplier. We are not affiliated with a New Hampshire utility.
- 6. The telephone number of the applicant's customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available; 888-635-0827
- 7. The name, title, business address, telephone number, and e-mail address of the individual responsible for responding to commission inquiries;

For Complaints: Yolanda Shanks, Manager, 1221 Lamar Street, Suite 750, Houston, TX 77010, Telephone: 888-635-0827 Email: contract_compliance@constellation.com

For Regulatory: Amy Klaviter, Analyst, Legal Compliance, 20 N. Wacker Drive, Suite 2100, Chicago, IL 60606, Telephone: 312-681-1855, Email: Amy.klaviter@constellation.com

8. The name, title, business address, telephone number and e-mail address of the individual who is the applicant's registered agent in New Hampshire for service of process;

Corporate Creations Network Inc. 3 Executive Park Drive #201A

Bedford, NH 03110

Telephone: 603-369-3031

9. A copy of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state, if anything other than an individual;

See Exhibit 2

10. A listing of the utility franchise areas in which the applicant intends to operate. To the extent an applicant does not intend to provide service in the entire franchise area of a utility, this list shall delineate the cities and towns where the applicant intends to provide service;

Unitil Energy Systems

Liberty Utilities (Granite State Electric)

New Hampshire Electric Cooperative Inc.

Eversource Energy (formerly Public Service Company of New Hampshire)

11. A description of the types of customers the applicant intends to serve, and the customer classes as identified in the applicable utility's tariff within which those customers are served;

We intend to serve all types of customers in New Hampshire. Therefore, we would like to serve all rate classes (residential, commercial and industrial), which includes but is not limited to residential, domestic, general, and outdoor lighting rate schedules.

12. A listing of the states where the applicant currently conducts business relating to the sale of electricity;

California, Connecticut, Delaware, District of Columbia, Illinois, Massachusetts, Maine, Maryland, Michigan, New Hampshire, New Jersey, New York, Ohio, Oregon, Pennsylvania, Rhode Island and Texas.

13. A listing disclosing the number and type of customer complaints concerning the applicant or its principals, if any, filed with a state licensing/registration agency, attorney general's office or other governmental consumer protection agency for the most recent calendar year in every state in which the applicant has conducted business relating to the sale of electricity;

California 2016 – 0 complaints

Connecticut 2016 – 1 complaint – door to door solicitation.

Delaware 2016 - 0 complaints

District of Columbia 2016 – 15 complaints – billing disputes, door to door solicitations, external cancellation requests, government aggregation disputes, early term fee disputes

Illinois 2016 – 96 complaints – billing disputes, door to door solicitations, external cancellation requests, government aggregation disputes, early term fee disputes, renewal dispute

Massachusetts 2016 – 2 complaint – contract dispute, government aggregation dispute

Maine 2016 – 0 complaints

Maryland 2016 - 13 complaints – early term fee, billing, enrollment not authorized, savings not realized, price to compare dispute

Michigan 2016 – 0 complaints

New Hampshire 2016 – 1 – contract copy not provided timely

New Jersey 2016 - 20 – aggregation disputes, early term fee disputes, billing disputes, usage, enrollment not authorized, cancellation not processed

New York 2016 – 9 – savings not realized, early term fee, enrollment not authorized, incorrect rate, government aggregation dispute

Ohio 2016 – 51 – early term fee, enrollment, billing, cancellation not processed, enrollment not authorized, contract length, savings not realized, rate dispute, door to door solicitation, government aggregation dispute

Oregon 2016 - 0 complaints

Pennsylvania 2016 - 53 – slamming, early term fee, enrollment not authorized, rates increasing, billing dispute, door to door solicitation

Rhode Island 2016 – 0 complaints

Texas 2016 – 1 – erroneous enrollment notifications

Virginia 2016 – 0 complaints

- 14. A statement as to whether the applicant or any of the applicant's principals, as listed in a. through c. below, have ever been convicted of any felony that has not been annulled by a court:
 - a. For partnerships, any of the general partners;
 - b. For corporations, any of the officers, directors or controlling stockholders; or
 - c. For limited liability companies, any of the managers or members;
 None of the principals of Constellation NewEnergy, Inc. or its subsidiaries have ever been convicted of any felony that has not been annulled by a court.
- 15. A statement as to whether the applicant or any of the applicant's principals:
 - a. Has, within the 10 years immediately prior to registration, had any civil, criminal or regulatory sanctions or penalties imposed against them pursuant to any state or federal consumer protection law or regulation;
 - b. Has, within the 10 years immediately prior to registration, settled any civil, criminal or regulatory investigation or complaint involving any state or federal consumer protection law or regulation; or

c. Is currently the subject of any pending civil, criminal or regulatory investigation or complaint involving any state or federal consumer protection law or regulation;

See Exhibit 3

16. If an affirmative answer is given to any item in (14) or (15) above, an explanation of the event;

See Exhibit 3

- 17. For those applicants intending to telemarket, a statement that the applicant shall:
 - a. Maintain a list of consumers who request being placed on the applicant's donot-call list for the purposes of telemarketing;
 - b. Obtain monthly updated do-not-call lists from the National Do Not Call Registry; and
 - Not initiate calls to New Hampshire customers who have either requested being placed on the applicant's do-not-call list(s) or customers who are listed on the National Do Not Call Registry;

Constellation NewEnergy, Inc. is not telemarketing in New Hampshire.

- 18. For those applicants that intend not to telemarket, a statement to that effect;
 Constellation NewEnergy, Inc. is not telemarketing in New Hampshire. If we decide to telemarket in the future, we will maintain a list of consumers that request to be on Constellation NewEnergy, Inc.'s do-not-call list, obtain monthly updated do-not-call lists from the National Do Not Call Registry and will not initiate calls to customers on either list.
- 19. A sample of the bill form(s) the applicant intends to use or a statement that the applicant intends to use the utility's billing service;

Constellation NewEnergy, Inc. uses the consolidated billing service of the local utility as well as direct billing to customers. See Exhibit 4 for the sample invoice sent to customers via direct billing.

20. A copy of each contract to be used for residential and small commercial customers:

See Exhibit 5. Please note Constellation NewEnergy, Inc. is not serving residential customers at this time, but would like the ability to serve them in the future. We will submit a residential contract prior to serving residential customers; therefore, the contract enclosed is for small commercial customers.

21. A statement certifying that the applicant has the authority to file the application on behalf of the CEPS and that its contents are truthful, accurate and complete; and

See Certification Statement

22. The signature of the applicant or its representative.

See Certification Statement

- 23. Demonstration of technical ability to provide for the efficient and reliable transfer of data and electronic information between utilities and CEPS in the form of:
 - a. A statement from each utility with which the CEPS intends to do business indicating that the applicant has complied with the training and testing requirements for electronic data interchange; and
 - A statement from each utility with which the CEPS does or intends to do business indicating that the applicant has successfully demonstrated electronic transaction capability
 See Exhibit 6.
- 24. Evidence that the CEPS is able to obtain supply in the New England energy market. Such evidence may include, but is not limited to, proof of membership in the New England Power Pool (NEPOOL) or any successor organization or documentation of a contractual sponsorship relationship with a NEPOOL member:

 See Exhibit 7.
- 25. A \$250 re-registration fee:

Enclosed.

26. Evidence of financial security:

See Exhibit 8.

Corporation Officers

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mark.huston@exeloncorp.com

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State of New Hampshire

OFFICE OF SECRETARY OF STATE



I, ROBERT P. AMBROSE, Deputy Secretary of State of the State of New Hampshire, do hereby certify that the attached is a true copy of Application for Amended Certificate of Authority changing the name from AES NEWENERGY, INC. to CONSTELLATION NEWENERGY, INC. as filed in this office and held in the custody of the Secretary of State.

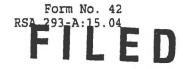


In Testimony Whereof, I hereto set my hand and cause to be affixed the Seal of the State, at Concord,

this 8th Day of October A.D. 2002

Deputy Secretary of State

Filing fee: \$35.00 Use black print or type. Leave 1" margins both sides.



APPLICATION FOR AMENDED CERTIFICATE OF AUTHORITY FOR PROFIT FOREIGN CORPORATION

OCT 0 4 2002

| TO THE SECRETARY OF STATE OF THE STATE OF NEW HAMPSHIRE WILLIAM M. GARDNER NEW HAMPSHIRE | |
|--|-----|
| PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE BUSINESS CORPORATION TO STATE UNDERSIGNED CORPORATION HEREBY APPLIES FOR AN AMENDED CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT: | |
| FIRST: The name of the corporation is: AES NewEnergy, Inc. 356447 | |
| SECOND: The name the corporation is currently using in the state of New Hampshire is: AES NewEnergy, Inc. | |
| THIRD: The state or country of incorporation is: Delaware | |
| FOURTH: The date the corporation was authorized to transact business in the state of New Hampshire is: 2/8/02 | |
| FIFTH: This application is filed for the following reason (complete all applicable items) a. The corporation has changed its corporate name to: | |
| Constellation NewEnergy, Inc. | |
| b. The name the corporation will hereafter use in the state of New Hampshire is changed to: Constellation New Energy, Inc. (Note 1) | للر |
| C. The corporation has changed its period of duration to: N/A | |
| d. The corporation has changed the state or country of its incorporation to: N/A | |
| Dated 9/25/ 2002 | |
| Caustellahon NewEnergy, Inc. (Note 2) | |
| | |

Mail fee and ORIGINAL AND ONE EXACT OR CONFORMED COPY WITH A CERTIFICATE OF LEGAL EXISTENCE OR GOOD STANDING ISSUED BY THE STATE OR COUNTRY OF INCORPORA-TION (Note 4) to: Secretary of State, State House, Room 204, 107 North Main Street, Concord, NH 03301-4989

Print or type name

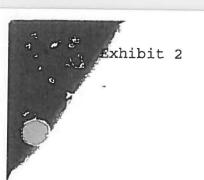
Donna M. Levy

By Down in Lev

Signature of its Secretary

7/99

CD Corp 42 Pg 2 V-1.0



CONSENT OF USE OF NAME

Constellation Energy Group, Inc., a corporation organized under the laws of the State of Maryland, hereby consents to the use of name of Constellation NewEnergy, Inc. in the State of NEW HAMPSHIRE.

IN WITNESS WHEREOF, the said Constellation Energy Group, Inc. has caused this consent to be executed by its President and attested under its corporate seal by its Secretary, this __3rd__ day of October, 2002.

CONSTELLATION ENERGY GROUP, INC.

Mayo A Shattuck, III

President/

Attest:

Kathleen A. Chagnon, Secretary



The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "CONSTELLATION NEWENERGY, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-SIXTH DAY OF SEPTEMBER, A.D. 2002.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



2938363 8300

020599600

Darriet Smith Hindson Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 2004849

DATE: 09-26-02

STATE OF NEW HAMPSHIRE

Filing fee: \$15.00 Use black print or type.

Form No. 9 RSA 293-A:5.02 (a) and 15.08 (a)

STATEMENT OF CHANGE OF REGISTERED OFFICE OR REGISTERED AGENT, OR BOTH, BY CORPORATION

TO THE SECRETARY OF STATE OF THE STATE OF NEW HAMPSHIRE

| FIRST: The name of the corpor Constellation NewEnergy. I | | 35/04 |
|---|--|-----------------|
| SECOND: The name of its regi | stered agent is recorded as: | |
| Corporation Service Compan | y d/b/a Lawyers Incorporating Se | rvice |
| THIRD: The street address, to 14 Centre Street, Concord, | own/city of its registered office New Hampshire 03301 | is recorded as: |
| FOURTH: The name of its new r | egistered agent is (Note 1): | |
| FIFTH: The street address, to 9 Capitol Street, Concord, NH 03301 | wn/city of its new registered offi | ce is (Note 1): |
| | own/city of its registered office registered agent, as changed, wil | |
| SEVENTH: (Print Name) CT Corp | poration System | hereby consents |
| to serve as registered agent for | or this corporation. (Note 2) | |
| | Bonnel (e. Schumen | (Note 2) |
| FILED | Signature of new agent | |
| | Dated 9/25 | 2002 |
| OCT 0 4 2002 | Constellation New Energy | , Fuc, (Note 3) |
| WILLIAM M. GARDNER | By Danna M Lewy | (Note 4) |
| NEW HAMPSHIRE | Signature of its Secretary | |
| SECRETARY OF STATE | Donna M. Levy | |
| | Print or type name | |

Notes:

- 1. Refer to law on reverse side. (If a post office box is given, the physical location must also be given.)
- 2. If a Foreign Corporation, the seventh statement must be completed and signed by new agent or a letter of consent signed by new agent must be submitted with this form.
- 3. Exact corporate name of corporation making the statement.
- 4. Signature and title of person signing for the corporation. Must be signed by chairman of the board of directors, president or another officer; or see RSA 293-A:1.20(f) for alternative signatures.

Mail fee with ORIGINAL and ONE EXACT OR CONFORMED COPY to: Secretary of State, State House, Room 204, 107 North Main Street, Concord, NH 03301-4989

' Exhibit 2

State of New Hampshire Department of State

AMENDED CERTIFICATE OF AUTHORITY OF

AES NEWENERGY, INC.

The undersigned, as Deputy Secretary of State of the State of New Hampshire, hereby certifies that an Application of AES NEWENERGY, INC. for an Amended Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, as such Deputy Secretary of State, and by virtue of the authority vested in him by law, hereby issues this Amended Certificate of Authority to CONSTELLATION NEWENERGY, INC. to transact business in this State under the name of CONSTELLATION NEWENERGY, INC. and attaches hereto a copy of the Application for such Amended Certificate.

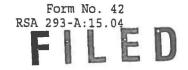
IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 4th day of October, 2002.

Robert P. Ambrose Deputy Secretary of State



STATE OF NEW HAMPSHIRE

Filing fee: \$35.00 Use black print or type. Leave 1" margins both sides.



APPLICATION FOR AMENDED CERTIFICATE OF AUTHORITY FOR PROFIT FOREIGN CORPORATION

OCT 0 4 2002

TO THE SECRETARY OF STATE OF THE STATE OF NEW HAMPSHIRE

FIRST: The name of the corporation is: AES NewEnergy, Inc.

WILLIAM M. GARDNER NEW HAMPSHIRE

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE BUSINESS CORPORATERCALTAPHOF STATE UNDERSIGNED CORPORATION HEREBY APPLIES FOR AN AMENDED CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

| SECOND: The name the corporation is currently using in the state Hampshire is: AES NewEnergy, Inc. | of New |
|--|--------------------|
| THIRD: The state or country of incorporation is: Delaware | |
| FOURTH: The date the corporation was authorized to transact bus the state of New Hampshire is: $\underline{2/8/02}$ | iness in |
| FIFTH: This application is filed for the following reason (comple applicable items) a. The corporation has changed its corporate name to: | te all |
| Constellation NewEnergy, Inc. | |
| b. The name the corporation will hereafter use in the state Hampshire is changed to: <u>Constellation NewEnergy, Inc.</u> | of New (Note 1) |
| C. The corporation has changed its period of duration to: N/A | |
| | |
| d. The corporation has changed the state or country of its inction to: $_{ m N/A}$ | corpora- |
| Dated 9(25) , 7002 | |
| | |
| Constellation NewEnergy, Inc. | (Note 2) |
| By Down m Levy | (Note 3) |
| Signature of its <u>Secretary</u> | |
| Donna M. Levy Print or type name | |
| *** | |

Mail fee and ORIGINAL AND ONE EXACT OR CONFORMED COPY WITH A CERTIFICATE OF LEGAL EXISTENCE OR GOOD STANDING ISSUED BY THE STATE OR COUNTRY OF INCORPORATION (Note 4) to: Secretary of State, State House, Room 204, 107 North Main Street, Concord, NH 03301-4989

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NH027 - 3/13/00 C T System Online

CD Corp 42 Pg 2 V-1.0

State of New Hampshire Department of State

CERTIFICATE OF AUTHORITY OF

AES NEWENERGY, INC.

The undersigned, as Deputy Secretary of State of the State of New Hampshire, hereby certifies that an Application of AES NEWENERGY, INC. for a Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, as such Deputy Secretary of State, and by virtue of the authority vested in him by law, hereby issues this Certificate of Authority to AES NEWENERGY, INC. to transact business in this State under the name of AES NEWENERGY, INC. and attaches hereto a copy of the Application for such Certificate.



IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 8th day of February A.D. 2002

Robert P. Ambrose Deputy Secretary of State

Form No. 4l RSA 293-A:15.03

STATE OF NEW HAMPSHIRE

Fee for Form 40-A: \$50.00
Filing fee: \$35.00
Total fees \$85.00
Use black print or type.

Leave I" margins both sides.

Form No. 40 RSA 293-A:15.03

FILED

APPLICATION FOR CERTIFICATE OF AUTHORITY FOR PROFIT FOREIGN CORPORATION

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE BUSINESS CORPORATION ACT, THE

FEB 0 8 2002

WILLIAM M. GARDNER NEW HAMPSHIRE SECRETARY OF STATE

(Note 2)

TO THE SECRETARY OF STATE OF THE STATE OF NEW HAMPSHIRE

| TRANSACT BUSINESS IN NEW HAMPSHIRE, AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT: |
|--|
| FIRST: The name of the corporation is AES New Energy, Inc. |
| SECOND: The name which it elects to use in New Hampshire is AES New Energy, TNC. (Note 1) |
| THIRD: It is incorporated under the laws of Delaware |
| FOURTH: The date of its incorporation is 8/27/98 and the period of its duration is |
| FIFTH: (Complete this statement only if a Professional Association.) All the shareholders and those of its directors and officers as are required by the laws of (enter the State of Incorporation———————————————————————————————————— |
| SIXTH: The complete address (including zip code and post office box, if any) of its principal office is PES New Energy Two 350 South Grand Ave. Suite 2950 hos Angeles, CA 90071 |
| SEVENTH: The name of its registered agent in New Hampshire is |
| post office box, if any) of its registered office in New Hampshire is (agent's business address) |

| Exhibit 2 | * | |
|--|---|---|
| APPLICATION FOR CERTIFIC (corporate name) | CATE OF AUTHORITY OF ARWENERS TAC. | Form No. 40 (cont.) |
| EIGHTH: The principal prin | purpose or purposes which it proposes of procurement and sale of related services | o pursue in the transaction of business of electricity and |
| | | (Note 3) |
| | | officers and directors are: (If there are f the state of incorporation do not require |
| Name | Office | Address |
| OFFICERS | | |
| See attached officers/ | directors rider | |
| | | |
| | • | _ |
| | | |
| | | |
| | | |
| DIRECTORS | /4: | |
| See attached officers/ | - Girectors rider | |
| J. Stuart Ryan | Sole Director | JOO Pine Street, Suite 3300 San Francisco CA 94111 |
| • | | Can Trancisco, CA 1911 |
| | | |
| Dated February | 1 , 2002 | |
| (| DES NO. F | T ₁₄ |
| | 110 New | nergy Note 4) |
| | Blatherene. | (Note 5) |

Mail total fees of \$85.00, **DATED & SIGNED** ORIGINAL & ONE EXACT OR CONFORMED COPY, CERTIFICATE OF LEGAL EXISTENCE OR GOOD STANDING ISSUED BY THE STATE OR COUNTRY OF INCORPORATION & FORM 40-A (see Notes 6 & 7) to: Secretary of State, State House, Room 204, 107 North Main Street, Concord, NH 03301-4989

Print or type name

OFFICERS OF AES NEWENERGY, INC.

Name: Palevich, Clem Title: President Office Address: AES NewEnergy 350 South Grand Avenue Suite 2950 Los Angeles, CA 90071

Name: Kane, Eben Title: Vice President, Treasurer Office Address: AES NewEnergy 350 South Grand Avenue Suite 2950 Los Angeles, CA 90071

Name: Barron, Catherine (Cathy)
Title: Vice President, Secretary
Office Address:
AES NewEnergy
Top Floor
535 Boylston St
Boston, MA 02116-3720

Name: Moore, Jon Title: Vice President Office Address: AES NewEnergy 20th Floor 1001 North 19th St Arlington, VA 22209

Name: Thomas, Aaron Title: Vice President Office Address: AES NewEnergy 350 South Grand Avenue Suite 2950 Los Angeles, CA 90071

Name: Duprey, Peter Title: Vice President Office Address: AES NewEnergy Top Floor 535 Boylston St Boston, MA 02116-3720

Name: Gasca, Amy Title: Vice President Office Address: AES NewEnergy Suite 200 1301 McKinney Houston, TX 77010 Name: Hayduk, Brian Title: Vice President Office Address: AES NewEnergy Suite 400 551 Fifth Ave New York, NY 10176

Name: Kagan, Michael Title: Vice President Office Address: AES NewEnergy Top Floor 535 Boylston St Boston, MA 02116-3720

Name: Korandovich, Bob Title: Vice President Office Address: AES NewEnergy Suite 1400 250 E. Broad St Columbus, OH 43215

Name: O'Connor, Philip (Phil)
Title: Vice President
Office Address:
AES NewEnergy
Suite 1100
309 West Washington Avenue
Chicago, IL 60606

Name: O'Neill, John Title: Vice President Office Address: AES NewEnergy 5th Floor 530 Atlantic Ave Boston, MA 02210

Name: Rothstein, Steven Title: Vice President Office Address: AES NewEnergy 5th Floor 530 Atlantic Ave Boston, MA 02210

Name: Sutton, Charles (Chuck)
Title: Vice President
Office Address:
AES NewEnergy
Suite 1100
309 West Washington Avenue
Chicago, IL 60606

Name: Toppi, Edward Title: Vice President Office Address: AES NewEnergy 1500 JFK Boulevard, Suite 222 2 Penn Center Philadelphia, PA 19102

- In August 2010, Constellation NewEnergy, Inc. ("Constellation") discovered and self-reported to the California Independent System Operator ("CAISO") a number of instances where it had inadvertently submitted incomplete Settlement Quality Meter Data ("SQMD") to the CAISO during the period of June 1 July 17, 2011. On May 8, 2012, CAISO issued a letter of findings and conclusions indicating that Constellation's 2010 inaccurate meter data submissions constituted a violation of Tariff Section 37.5.2 and imposing a penalty of \$281,831.84. On June 11, 2012, Exelon (on behalf of Constellation) filed a request for waiver with the Federal Energy Regulatory Commission ("FERC") to allow a reduction of the penalty to \$42,000, consistent with the currently-effective tariff section 37.11.1. (After Constellation had allegedly violated the tariff provision, FERC had authorized a revision of the tariff to lower the potential penalties for inaccurate meter data submissions.) CAISO did not oppose the request and credited CNE the full amount of the penalty pending FERC's decision. On October 26, 2012 FERC granted the request and allowed a reduction of the penalty from \$281,831.84 to \$42,000.
- The California Public Utilities Commission ("CPUC") adopted a Settlement Order on March 10, 2011 in which Constellation NewEnergy, Inc. ("CNE") agreed to make a one-time payment of \$300,000 regarding failure to have sufficient Resource Adequacy contracts in place for a particular month. This stemmed from confusion during the first month utilizing a new CPUC reporting system for suppliers, in which the spreadsheet calculated the total value of all RA contracts throughout the year, making it appear to CNE that it had fulfilled its compliance obligation, when certain contracts only applied to later months. Upon notification, CNE immediately sought out and entered into additional bi-lateral contracts for the deficient amount, sending a corrected/updated database and notifying the CPUC within the time frame specified in the notice.
- On November 4, 2010, the Pennsylvania Public Utility Commission ("PUC") Bureau of Investigation and Enforcement initiated an informal investigation (Docket No. M-2012-2201861) of MXenergy Electric Inc.'s (now known as Constellation Energy Power Choice, Inc., License Number A-110168) residential marketing practices, specifically its door-to-door sales practices, in that state. The parties filed a proposed Settlement Agreement on January 6, 2012, which the PUC rejected by order adopted March 29, 2012. The PUC approved a settlement on December 5, 2013.
- In January 2012, the Georgia Public Service Commission ("Commission") initiated an investigation (Docket No. 35270) of MXenergy Inc.'s (now known as Constellation Energy Gas Choice, Inc., License Number GM-33) residential door-to-door marketing campaign. The Commission adopted a joint Settlement Agreement on July 17, 2012 settling allegations of alleged improper enrollments for 136 accounts. In its Order, the Commission notes no findings of violations and MXenergy Inc. has no admission of wrongdoing. Constellation has satisfied the terms of the Settlement.
- On December 10, 2014, the Connecticut Public Utilities Regulatory Authority initiated an Investigation of Constellation Energy Power Choice, Inc.(Docket No. 07-03-08RE03). The investigation is based on CEPC discovering and self reported to PURA that CEPC inadvertently failed to provide fixed-price expiration notices to certain customers. A final order was issued on September 23, 2015 accepting CEPC's offer to make a \$40,000 payment to Operational Fuel.





CNE CUSTOMER ID

STATEMENT NO.

PAGE 1 of 4

CNE ACCOUNT ID

STATEMENT DATE 02/01/2017 **DUE DATE** 03/04/2017

For questions or comments, please contact Customer Care at (888)635-0827 Monday through Friday 7:00 am to 6:00 pm Central Standard Time, or email us at customercare@constellation.com.

When contacting Constellation, please reference the CNE ACCOUNT ID found at the top of this page.

| CONTENT CHARGES | \$7,902.21 |
|-----------------------------------|------------|
| CURRENT CHARGES | £7,000,04 |
| LATE/FINANCE FEE | \$0.00 |
| DEBITS/CREDITS SINCE LAST INVOICE | \$0.00 |
| PAYMENTS SINCE LAST INVOICE | \$0.00 |
| PREVIOUS BALANCE | \$8,092.67 |
| PREVIOUS STATEMENT DATE | 01/03/2017 |
| ACCOUNT BALANCE | |

WIRE TRANSFER INFORMATION: Constellation NewEnergy, Inc. ABA-ACH #111000012, ABA-WIRE #026009593 ACCT #4426223690 BANK: Bank of America

REMITTANCE ADDRESS: Constellation NewEnergy, Inc. PO Box 4640 Carol Stream, IL 60197-4640

| [| | | | March | 1 | | - |
|-------------|----|----|----|-------|----|----|----|
| Į. | S | M | T | W | T | F | S |
| | | | | 1 | 2 | 3 | 4 |
| | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Payment Due | 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| | 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| | 26 | 27 | 28 | 29 | 30 | 31 | |

PLEASE RETURN THIS PORTION WITH PAYMENT AND MAKE ALL CHECKS PAYABLE TO Constellation NewEnergy, Inc.



PO Box 4911 Houston, TX 77210-4911 CNE CUSTOMER ID STATEMENT NO. DUE DATE 03/04/2017

CNE ACCOUNT ID STATEMENT DATE 02/01/2017

ENTER AMOUNT ENCLOSED \$ 15,994.88

Additional charges per the terms of your contract will be applied to the Total Amount Due if payment is not received on or before the due date.

REMITTANCE ADDRESS:

Corporate Address

Adjustments: Any adjustments that were made to your account within the invoice period. Adjustments may be made for a variety of reasons, including special contract calculations, corrections to prior bills, or settlement of disputed charges.

Administration Fee or Service Charge: The fee or charge set forth for each account per billing cycle.

Ancillary Service Charges: charges regarding ancillary services as set forth in the applicable Independent Service Operator (ISO) Open Access Transmission Tariff (OATT) and for other ISO costs not included in the definition of Capacity Costs, Energy Costs, and Transmission Costs. Generally, these costs are associated with ensuring the reliability of the electrical grid.

<u>Capacity Charge:</u> Charge for fulfilling the capacity requirements for the Account(s) imposed by the ISO or otherwise. Generally, these costs are associated with ensuring there is enough generating capacity available now and in the future to meet customer requirements.

Energy Charge - Non-Time of Use (TOU): Charge per kWh for electricity supplied for all hours of each day.

Kilowatt Hour (kWh): A measure of the quantity of electricity (energy) that you use.

Late Fees or Finance Charges: Additional charges assessed to accounts for late payment of invoices. Payment terms and charge calculations are specified in your contract.

Line Loss Charges: The cost associated with the loss of electricity as it travels over the transmission and distribution wires.

Locational Forward Reserves (LFR): Ancillary service administered by the ISO that facilitates the availability of generating units in the future to provide backup reserve service to ensure system reliability.

Reliability Must Run (RMR): Ancillary service administered by the ISO. Generation resources scheduled to operate out-of-merit order and identified by the ISO as necessary to preserve regional system reliability.

Renewable Portfolio Standards Cost (RPS): NewEnergy's cost of procuring renewable energy to comply with Renewable Portfolio Standards (RPS) requirements, usually established by individual states. Generally, these costs are associated with requirements to support generating units that produce power using renewable fuels such as water (hydro-electric)) and solar.

Retail Service Charge: A contracted charge for supplying electricity to an account, based upon total kWh consumption per billing cycle.

Retail Trade Transaction (RTT): The fixed unit Price and Quantity for a specific commodity for a specific delivery point and pattern.

Transmission Service Charge: The charge for Network Transmission Service as identified in the applicable OATT Tariff for the provision of transmission service by the ISO within the Utility's service territory. Generally, these costs are associated with building and maintaining the electric transmission lines.

<u>Disputed Invoices:</u> Should you question any portion of your Constellation NewEnergy invoice, please call 888-635-0827 Monday to Friday 7AM-7PM Central Time, email CustomerCare@constellation.com, or write to: Constellation NewEnergy, c/o Customer Care, PO Box 4911 Houston, TX 77210-4911.

If you have a billing dispute that you are not able to resolve with Constellation NewEnergy you may file a complaint with the New Hampshire Public Utilities Commission (PUC). The PUC can be reached by phone at 800-852-3793 or you may visit their website at www.puc.state.nh.us.

<u>Consumption Request:</u> At least twice per year a customer has the right to request and receive actual consumption information for each billing period during the prior year or months in which Constellation provided service to the customer.

Environmental Disclosure: You may access the Environmental Disclosure information on our website:

http://www.constellation.com/solutions/for-your-commercial-business/solutions-by-state/new-hampshire-energy.html

In the event of a service interruption or electric emergency, please contact your utility directly at:

Granite State Electric Company 800-465-1212 Public Service of New Han

Public Service of New Hampshire 800-662-7764

New Hampshire Electric Co-operative

800-343-6432

Unitil Energy Systems

800-852-3339

DISCLAIMER: General Understanding - This glossary is for informational purposes only. Please refer to your agreement with us for the defined terms that govern the contractual obligations applicable to us supplying you. Not all defined terms set forth above may be applicable to your agreement with Constellation NewEnergy.

PLEASE RETURN THIS PORTION WITH PAYMENT AND MAKE ALL CHECKS PAYABLE TO Constellation NewEnergy, Inc.



Write account number on check and make payable to Constellation NewEnergy, Inc.

If the billing address is incorrect, please fax the new complete billing address to (877) 243-4968.





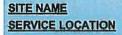


STATEMENT NO.

PAGE 3 of 4

STATEMENT DATE 02/01/2017

DUE DATE 03/04/2017



PSNH ACCOUNT ID CNE INVOICE ID

kWh

SERVICE PERIOD

PRODUCT



96,617.00

12/30/2016 to 01/27/2017

Fixed Price Solutions



| Contract Charges | Quantity | Contract/Market | Rate | Amount | |
|---|-----------|------------------|----------|------------|------------|
| Energy Charge Non TOU | 96,617.00 | kWh at 0.0809300 | \$/kWh | \$7,819.21 | |
| Subtotal Contract Charges | | | | \$7,81 | 9.21 |
| Market Charges | Quantity | Contract/Market | Rate | Amount | |
| Winter Reliability Charge 12/30/2016 - 12/31/2016 | 3,267.20 | kWh at 0.0008590 | \$/kWh | \$2.81 | |
| Winter Reliability Charge 01/01/2017 - 01/27/2017 | 93,349.80 | kWh at 0.0008590 | \$/kWh | \$80.19 | |
| Subtotal Market Charges | | | | \$8 | 3.00 |
| Subtotal Charges from Constellation New | vEnergy | | | | \$7,902.21 |
| otal Amount Due To Constellation N | lewEnergy | | FET FLET | | \$7,902.2 |



Agreement is Not Valid Unless Executed by Seller

Constellation NewEnergy, Inc.
NH Small Commercial Electricity Supply Agreement

Constellation NewEnergy, Inc. ("Seller") and <-Buyer Name>>("Buyer") (individually referred to as "Party" and collectively as "Parties") agree to the following Electricity Purchase and Sale Terms and Conditions ("Agreement"), as of the date signed by Buyer (the "Effective Date"):

Price: For each billing cycle of Initial Term, Buyer shall pay the Fixed Rate per kWh identified in the Account Schedule, multiplied by the billing cycle usage for the Accounts. Both Parties recognize that Seller's charges include tariff charges that are set forth by the Utility, ISO-NE, the FERC, the PUC, and/or any other state or governmental agency having jurisdiction (each an "Authorized Entity"). Seller may pass through to Buyer, without markup as a separate line item or as an updated Fixed Rate, (a) any increase in such tariff charges or (b) other increase in Seller's cost to provide electricity that result from an addition to, a change in, or change in interpretation by an Authorized Entity of, or change in administration by an Authorized Entity of, tariffs, operating protocols, laws, regulations, or other requirements of an Authorized Entity, as applicable. Buyer will also incur delivery and other additional service charges from the Utility. Switching fees may apply when service is established with Seller, but Buyer will not be charged separately by Seller for a switching fee.

Initial Term; Renewal: This Agreement shall become binding on the Effective Date, however, the obligation of Seller to sell and schedule electricity for delivery to Buyer and the obligation of Buyer to purchase, take and pay for electricity is contingent upon: (a) successful enrollment by the Utility identified in the Account Schedule below (the "Utility") of the Utility accounts identified in Account Schedule below (the "Accounts") and (b) the passage of the Rescission Period (defined below) without effective cancellation by Buyer. Successful enrollment by the Utility is dependent upon (i) the eligibility the Accounts, as determined by the Utility and the New Hampshire Public Utilities Commission ("PUC"), to take from a retail electric supplier, (ii) Seller's determination, in its sole discretion, of price availability and that Buyer meets Seller's credit standards, and (iii) the accuracy and completeness of the information submitted in the Account Schedule. Subject to successful enrollment of your Account(s), this Agreement shall commence on or about the date set forth under "Start Date", and end on or about the date set forth under "End Date" as identified in Account Schedule below ("Initial Term"). The actual Start Date is dependent on the Utility successfully enrolling the Account(s) and furnishing Seller with all necessary information regarding the Account(s) meter read cycle and meter read date(s). The dates identified in the Account Schedule below reflect Utility information available at that time or as otherwise estimated by Seller. The actual meter read dates may occur on or about the dates set forth herein. Seller will use commercially reasonable efforts to begin service to each Account(s) on the actual meter read date on or about the Start Date set forth herein. If Seller is unable to timely enroll an Account, the Start Date will commence on the next regularly scheduled Utility meter read cycle date following successful enrollment. The End Date will remain the same unless extended for a holdover term. Seller shall not be liable for any failure to enroll or drop an Account by the Start and End Date due to circumstances beyond our control. If following termination or expiration of this Agreement (whether in whole or in part), for any reason, some or all of the Accounts remain designated by the UDC as being supplied by Seller, then Seller may continue to serve such Account(s) on a month-to-month holdover basis. During such holdover term, Seller will calculate your invoice as follows: (Each Account's metered usage, as adjusted by the applicable line loss factor) times (the applicable ISO-published Day Ahead Locational Based Marginal Price ("LMP") + \$<\triangle s \text{Insert Holdover Rate>/kWh}) + (a pass through of all costs and charges incurred by us for the retail delivery of energy to you) + Taxes. This Agreement will continue to govern the service of such Accounts during such holdover term. Either party may terminate the holdover term at any time within its discretion at which time we will drop each Account as of the next possible meter read date to the then applicable tariff service, whether default service or otherwise.

After the Initial Term, service shall continue on a billing cycle-to-billing cycle basis at a variable market rate reasonably determined by Seller unless (i) terminated by Buyer giving Seller notice prior to the end of the Initial Term (provided it may take up to two billing cycles for Buyer to be de-enrolled from Seller's service with the Utility), (ii) terminated by Seller by giving 30 days written notice prior to the end of the Initial Term, or (iii) Buyer and Seller agree to a new price and term as evidenced by a fully executed agreement between the Parties. After the Initial Term, service continuing on a billing cycle-to-billing cycle basis may be terminated by either Party, provided that any such termination by Seller shall be effective 30 days after written notice is given to Buyer.

Billing and Payment: Buyer will be invoiced by the Utility for both Seller's charges and the Utility's delivery charges. Such billing and payment (including fees associated with late payments) shall be subject to the applicable Utility rules regarding billing and payment procedures. Buyer authorizes the Utility to release data to Seller relating to Buyer's billing, usage, and payment data, including without limitation, to disclose to Seller on a periodic basis the status of the Buyer's Account as either subject to (1) a budget billing plan with the Utility; (2) a payment plan with the Utility; or (3) neither a budget billing plan nor a payment plan with the Utility. Seller's charges or credits not invoiced through the Utility (including early termination fees pursuant to Section 2 below shall be invoiced or credited, respectively, directly by Seller and the payment shall be due 20 days after the invoice date. After the Initial Term, Seller may invoice Buyer's Accounts directly.

Taxes: Any tax levied against Seller by any governmental entity, exclusive of Seller's income tax or taxes levied on Seller's real or personal property that must be paid by Seller shall be passed through to and borne and reimbursed by Buyer. Buyer must provide Seller with any applicable exemption certificates. Buyer shall pay any such taxes unless Seller is required by law to collect and remit such taxes, in which case Buyer shall reimburse Seller for all amounts so paid.

Certain Warranties. You warrant and represent that the electricity supplied under this Agreement is not for use at a residence.

Notices. Notices may be in writing and delivered by hand, certified mail, return receipt requested, or by express carrier to our respective business addresses as listed below. Either of us can change our address by notice to the other pursuant to this paragraph. Buyer may also provide notice by calling Seller between 8am and 7pm Monday through Friday Eastern Prevailing Time, except holidays, at 1-888-635-0827.

Questions, Complaints and Concerns: Buyer may contact Seller between 8am and 7pm Monday through Friday Eastern Prevailing Time, except holidays, at 1-888-635-0827. Seller's mailing address is 1221 Lamar St, Suite 750, Houston, Texas 77010 and its website is www.constellation.com. In the event of a dispute, Buyer should contact Seller's customer service department to discuss the complaint. If the matter is not resolved or for other questions, Buyer may contact the New Hampshire Public Utilities Commission (NH PUC) by calling its Consumer Affairs Division at 1-800-852-3793 Monday through Friday between 8:00am and 4:30pm, or by writing to the PUC at Consumer Affairs Division, 21 South Fruit Street, Suite 10, Concord, NH 03301-2429 by visiting http://www.puc.nh.gov/ConsumerAffairsForms/complaintfrm.aspx. If you do not wish to receive telemarketing calls, you may contact the Telephone Preference Service of the Direct Marketing Association and request to be put on a "do-not-call" list. The Direct Marketing Association website address is: http://www.dmaconsumers.org/offtelephonelist.html.

IN THE EVENT OF AN EMERGENCY, POWER OUTAGE OR WIRES AND EQUIPMENT SERVICE NEEDS, CONTACT YOUR APPLICABLE UDC AT:

| UDC Name | UDC Abbreviation | Contact Numbers | | |
|--------------------------------------|---|--|--|--|
| <insert name="" utility=""></insert> | <pre><insert abbreviation="" utility=""></insert></pre> | <pre><insert contact="" numbers=""></insert></pre> | | |

RESCISSION PERIOD: BUYER HAS (A) 3 BUSINESS DAYS FROM RECEIPT OF THE TERMS OF SERVICE DOCUMENT ("TOS") PROVIDED BY SELLER IF THE TOS ARE DELIVERED IN PERSON OR ELECTRONICALLY; OR (B) 5 BUSINESS DAYS FROM THE POSTMARK DATE OF THE TOS IF SENT BY US FIRST CLASS MAIL.

Each party has caused this Agreement to be executed by its authorized representative on the respective dates written below.

| CONSTELLATION NEWENERGY, INC. | Customer: <customer name=""></customer> |
|---|---|
| Ву: | Ву: |
| Name: Title: | Name: Title: Error! Reference source not found. Date: |
| Address: 1221 Lamar St. Suite 750 Houston, Texas 77010 | Address: |
| Attention: Contracts Administration | Attention: |
| Facsimile: (888) 829-8738 | Facsimile: Error! Reference source not found. |
| Telephone: (888) 635-0827 | Telephone: |

Email Address:

This draft is preliminary and subject to further changes prior to release

General Terms and Conditions

- Buyer fails to pay timely or fails to meet Seller's credit standards, INDEMNIFY AND HOLD THE OTHER PARTY HARMLESS Seller may request that Buyer pay a deposit within 3 business FROM ANY AND ALL CLAIMS (INCLUDING CLAIMS FOR days of Seller's request in an amount not to exceed (a) two times PERSONAL INJURY, DEATH, OR PROPERTY DAMAGE). the highest month's estimated invoice amount in the past 12 LOSSES, months, plus (b) any mark to market exposure associated with ATTORNEYS' FEES), DAMAGES, SUITS, this Agreement. Interest will not be paid on cash deposits. If this ACTION AND JUDGMENTS OF ANY KIND TO THE EXTENT Agreement is terminated, any deposit may be applied to past due CAUSED BY THE NEGLIGENCE OR WILLFUL MISCONDUCT amounts or outstanding invoices and any excess will be returned OF THE INDEMNIFYING PARTY. to Buyer (if applicable) once all obligations to Seller have been 5. Limitation on Liability. Limitations: ALL ELECTRICITY fully satisfied.
- Termination; Remedies: Seller may terminate Buyer's EXPRESSLY DISCLAIMS service under this Agreement for non-payment with at least 10 WHETHER EXPRESS OR IMPLIED, INCLUDING BUT NOT business days' written notice. In the event (1) Buyer terminates LIMITED this Agreement with respect to an Account by failing to take MERCHANTABILITY OR FITNESS electricity for such Account before the end of the Initial Term PURPOSE. IN NO EVENT WILL EITHER PARTY BE LIABLE (except as permitted in this Agreement) or (2) Seller terminates UNDER THIS AGREEMENT, WHETHER IN CONTRACT, IN this Agreement as a result of Buyer's default under the terms of TORT (INCLUDING NEGLIGENCE AND STRICT LIABILITY), this Agreement, then Buyer shall pay an amount (that Seller will OR calculate using its reasonable judgment) equal to the positive CONSEQUENTIAL, SPECIAL, OR PUNITIVE DAMAGES. difference (if any) between (a) the dollar amount Buyer would 6. Relationship of Parties. We are an independent contractor, have paid to Seller under this Agreement had it not been and nothing in this Agreement establishes a joint venture, terminated early and (b) the price for the re-sale of energy, fiduciary relationship, partnership or other joint undertaking. We capacity and other components at which Seller re-sells or could are not acting as your consultant or advisor, and you will not rely re-sell, plus any amounts past due and any additional costs on us in evaluating the advantages or disadvantages of any reasonably incurred by Seller. Seller shall act in good faith and specific product or service, predictions about future energy in a commercially reasonable manner when determining the prices, or any other matter. Your decision to enter into this price at which power could have been resold. The Parties Agreement and any other decisions or actions you may take is expressly acknowledge that if Buyer defaults, damages would be and will be based solely upon your own analysis (or that of your difficult to ascertain and quantify and agree that this provision for advisors) and not on information or statements from us. calculating damages is reasonable in light of the anticipated or 7. Confidentiality. Consistent with applicable regulatory actual harm and is not a penalty.
- 3. Force Majeure: Except for Buyer's obligation to pay Seller by us from you related to the provision of services under this timely, neither Party shall be liable to the other for failure to Agreement and which concern your energy characteristics and perform an obligation if the non-performing Party was prevented use patterns, except that we may, consistent with applicable law from performing due to an event beyond the reasonable control, and regulation, disclose such information to our affiliates and that could not be remedied by the exercise of due diligence and such affiliates' employees, agents, advisors, and independent that was not reasonably foreseeable, including without limitation, contractors. Except as otherwise required by law, you will agree acts of God, a condition resulting in the curtailment of electricity to keep confidential the terms of our Agreement, including price. supply or interruption or curtailment of transmission on the 8. Miscellaneous: Buyer hereby authorizes the Utility to release electric transmission and/or distribution system, interruption of data to Seller regarding Buyer's historical or current billing and Utility service, terrorist acts or wars, and force majeure events of usage data. This Agreement shall be governed by and construed the Utility or RTO/ISO.
- 4. Indemnification obligations. We will have no liability or any applicable Utility tariffs. Buyer appoints Seller as its agent and systems, service interruptions, loss or termination of service, of the electricity, and risk of loss will pass from Seller to Buyer at

- deterioration of electric services, or meter readings. 1. Credit Requirements: If at any time during the Initial Term PARTY (THE "INDEMNIFYING PARTY") WILL DEFEND, **EXPENSES** (INCLUDING REASONABLE CAUSES OF
 - SOLD HEREUNDER IS PROVIDED "AS IS", AND SELLER ALL OTHER WARRANTIES. TO ANY **IMPLIED** WARRANTY FOR PARTICULAR OTHERWISE, FOR INDIRECT. INCIDENTAL,

 - requirements, we will hold in confidence all information obtained
- in accordance with the laws of the State of New Hampshire and responsibility for matters within the control of the UDC or the for the purposes of effectuating delivery, including for receipt of ISO-controlled grid, which include maintenance of electric lines billing and usage data from the Utility. Title, possession, control

This draft is preliminary and subject to further changes prior to release

the interconnect between the applicable RTO/ISO's transmission system and the Utility's distribution system. Seller may assign this Agreement, provided: (i) Seller gives Buyer at least fourteen (14) days prior written notice; (ii) such written notice informs Buyer that it may elect to (a) enroll with a different retail electric supplier or (b) return to Utility default service; and (iii) Seller receives all required regulatory approvals (if any). Buyer may assign this Agreement only with Seller's prior written consent and any attempted assignment without such consent shall be void. This Agreement constitutes the entire agreement between the Parties, superseding all verbal and written understandings. The Parties acknowledge and agree that (a) this Agreement constitutes a "forward contract" and/or "forward agreement" within the meaning of title 11 of the United States Code (the "Bankruptcy Code"), (b) each Party is a "forward contract merchant" within the meaning of the Bankruptcy Code, (c) for purposes of this Agreement, each Party is not a "utility" within the meaning of Section 366 of the Bankruptcy Code, and (d) each Party agrees to waive and not to assert the applicability of Section 366 of the Bankruptcy Code in any bankruptcy proceeding wherein such Party is a debtor, and (e) each Party further agrees to waive the right to assert that the other Party is a provider of last resort. This Agreement shall only be amended in a writing signed by both Parties. By agreeing to the terms and conditions herein, each individual additionally warrants that he or she is authorized to enter into this Agreement on behalf of the Party and Accounts for which it was made. Buyer should contact the Utility in the event of an electricity emergency.

ACCOUNT SCHEDULE:

For: <Customer Name>

The pricing set forth below is only valid until 5:00 PM Error! Reference source not found. on Insert Date

CNE shall have no obligation to enroll or supply electricity to any account(s) that are not identified on the Account Schedule below.

Please verify that your specific information is COMPLETE and ACCURATE.

Your review and acceptance of this information will help ensure accurate future invoices

Notes: Accounts listed in the Account(s) Schedule may be updated or replaced with a new account number issued by the UDC, ISO or other entity.

TO ACCEPT THE PRICING BELOW, PLEASE FAX A SIGNED COPY OF THIS AGREEMENT TO US AT (888) 829-8738.

No. of Service Accounts:

| UDC | UDC Account Number | Service Address | Start Date | End Date | Fixed Rate (\$/kWh) |
|-----|---------------------------|-----------------|-------------------|----------|---------------------|
| | | | | | |

FOR INTERNAL USE ONLY



Electronic Data Interchange (EDI) Certification

Unitil Energy Systems (UES)

Issued to:

Constellation NewEnergy

Represented by:

Rachel Mefford

Issued by:

Unitil Energy Systems

Represented by:

Jeffrey Pentz

Date:

2/5/2010

This is official notification of the successful completion of Electric EDI testing between Unitil Energy Systems and [supplier]. As of [date], Unitil Energy Systems does hereby declare [supplier] as a certified EDI trading partner capable of exchanging the following transactions:

| 810 | Invoice |
|-----|--------------------------|
| 814 | Change |
| 814 | Drop |
| 814 | Enrollment |
| 814 | Historical Usage Request |
| 820 | Payment Notification |
| 867 | Historical Usage |
| 867 | Monthly Usage |
| 997 | Functional Acknowledgeme |

[supplier] has successfully satisfied all the requirements of connectivity with Unitil Energy Systems. [supplier] has also proven through detailed transaction testing its understanding of the business rules and EDI formats required for account maintenance, and billing (dual and LDC rate-ready consolidated) as described by the New Hampshire Public Utilities Commission and using V12 version 4010 standards.

Signature

Date

Jeffrey Pentz Energy Analyst Unitil Service Corp.

6 Liberty Lane West

Hampton, NH 03842-1720

 $EL_SupplierServices@unitil.com$

Attn: Matthew W. Sigg Director of Operations, Transaction Management Constellation NewEnergy 1221 Lamar St, Suite 750 Houston, TX 77010 May 5, 2009

Dear Mr. Sigg,

This is to certify that Constellation NewEnergy has complied with the training and testing requirements of the New Hampshire Public Utilities Commission and that Constellation NewEnergy has successfully demonstrated electronic transaction capability with the New Hampshire Electric Cooperative.

Sincerely,

William Bayard

William Bayard

Economist & Load Research Analyst New Hampshire Electric Cooperative 579 Tenney Mtn. Highway Plymouth, NH 03264-3154

603-536-8879



Public Service of New Hampshire Certificate of Completion

is hereby granted to:

AES NewEnergy

to certify that they have completed to satisfaction



EDI Testing

Granted: August 2, 2002

Marlon K. Baese

Marlon Baese, Technical Contact



Current Members

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GUARANTY AGREEMENT

This Guaranty Agreement (the "Guaranty") is made by Exelon Generation Company, LLC ("Guarantor"), a Pennsylvania limited liability company, in favor of the New Hampshire Public Utilities Commission ("Counterparty").

1. <u>Guaranty.</u> Guarantor hereby unconditionally and absolutely guarantees the punctual payment when-due of the payment obligations of Constellation NewEnergy, Inc. ("Constellation") to the Counterparty (collectively, the "Guaranteed Obligations"); provided, however, that the total liability of Guarantor hereunder is limited to three hundred fifty thousand dollars (\$350,000)("Liability Cap"). Guarantor's obligations and liability under this Guaranty shall be limited to payment obligations only.

By acceptance of this Guaranty, the Guaranty agrees that this Guaranty replaces, supersedes and renders null and void any prior guaranty provided to support Constellation's obligations to the Counterparty including, without limitation, the guaranty dated as of January 21, 2010 by Constellation Energy Group, Inc.

2. <u>Guaranty Absolute.</u> The obligations of the Guarantor hereunder are several from Constellation or any other person, and are primary obligations concerning which the Guarantor is the principal obligor. There are no conditions precedent to the enforcement of this Guaranty, except as expressly contained herein. It shall not be necessary for Counterparty, in order to enforce payment by Guarantor under this Guaranty, to show any proof of Constellation's default, to exhaust its remedies against Constellation, any other guarantor, or any other person liable for the payment or performance of the Guaranteed Obligations.

This Guaranty shall continue to be effective or be reinstated, as the case may be, if at any time any payment of any of the Guaranteed Obligations are annulled, set aside, invalidated, declared to be fraudulent or preferential, rescinded or must otherwise be returned, refunded or repaid by Counterparty upon the insolvency, bankruptcy, dissolution, liquidation or reorganization of Constellation or any other guarantor, or upon or as a result of the appointment of a receiver or conservator of, or trustee for Constellation or any other guarantor or any substantial part of its property or otherwise, all as though such payment or payments had not been made.

- 3. <u>Waiver.</u> This is a guaranty of payment and not of collection. Guarantor hereby waives:
 - (a) notice of acceptance of this Guaranty, of the creation or existence of any of the Guaranteed Obligations and of any action by Counterparty in reliance hereon or in connection herewith;

- (b) except as expressly set forth herein, presentment, demand for payment, notice of dishonor or nonpayment, protest and notice of protest or any other notice with respect to the Guaranteed Obligations; and
- (c) any requirement that suit be brought against, or any other action by Counterparty be taken against, or any notice of default or other notice be given to, or any demand be made on Constellation or any other person, or that any other action be taken or not taken as a condition to Guarantor's liability for the Guaranteed Obligations under this Guaranty or as a condition to the enforcement of this Guaranty against Guarantor.
- 4. Reservation of Defenses. Guarantor agrees that except as expressly set forth herein, it will remain bound upon this Guaranty notwithstanding any defenses which, pursuant to the laws of suretyship, would otherwise relieve a guarantor of its obligations under a Guaranty. Guarantor does reserve the right to assert defenses which Constellation may have to payment of any Guaranteed Obligation other than defenses arising from the bankruptcy or insolvency of Constellation and other defenses expressly waived hereby.
- 5. Notices. All demands, notices and other communications provided for hereunder shall, unless otherwise specifically provided herein, (a) be in writing addressed to the party receiving the notice at the address set forth below or at such other address as may be designated by written notice, from time to time, to the other party, and (b) be effective upon receipt, when mailed by U.S. mail, registered or certified, return receipt requested, postage prepaid, facsimile or personally delivered. Notices shall be sent to the following addresses:

If to Counterparty:

Debra Howland
Executive Director
New Hampshire Public Utilities Commission
21 S. Fruit Street, Suite 10
Concord, NH 03301-2429
Phone: (603) 271-1164

If to Guarantor:

Exelon Generation Company, LLC 10 South Dearborn Street, 52nd Floor Chicago, Illinois 60603 Attn: Treasurer and Assistant Treasurer Fax (312) 394-4082

with a copy to:

Constellation NewEnergy, Inc. 100 Constellation Way, Suite 600C Baltimore, MD 21202 Attn: Credit Department

Phone: 410-470-6000 Fax: 410-468-3828

Constellation NewEnergy, Inc. Attn: Managing Counsel, Retail 100 Constellation Way Suite 1200C Baltimore, MD 21202 Fax No.: (410) 470-2600

- 6. <u>Demand and Payment.</u> Any demand by Counterparty for payment hereunder shall be in writing, signed by a duly authorized representative of Counterparty and delivered to the Guarantor pursuant to *Section 5* hereof, and shall (a) reference this Guaranty, (b) specifically identify Constellation, the nature of the default, the Guaranteed Obligations to be paid and the amount of such Guaranteed Obligations and (c) set forth payment instructions, including bank name, routing number and bank account number. There are no other requirements of notice, presentment or demand. Guarantor shall pay, or cause to be paid, such Guaranteed Obligations within ten (10) business days of receipt of such demand.
- 7. No Waiver; Remedies. Except as to applicable statutes of limitation, no failure on the part of Counterparty to exercise, and no delay in exercising, any right hereunder shall operate as a waiver thereof, nor shall any single or partial exercise of any right hereunder preclude any other or further exercise thereof or the exercise of any other right. The remedies herein provided are cumulative and not exclusive of any remedies provided by law.
- 8. <u>Term: Termination.</u> This Guaranty may be terminated at any time by the Guarantor by providing at least thirty (30) days' prior written notice to Counterparty; provided, however, Guarantor agrees that the obligations and liabilities hereunder shall continue in full force and effect with respect to any Guaranteed Obligations under any Agreement entered into on or prior to the date of such termination.
- 9. <u>Captions</u>. The captions in this Guaranty have been inserted for convenience only and shall be given no substantive meaning or significance whatsoever in construing the terms and provisions of this Guaranty.

10. Representation and Warranties.

The Guarantor represents and warrants as follows:

- (a) The Guarantor is duly organized, validly existing and in good standing under the laws of the jurisdiction of its incorporation and has full corporate power to execute, deliver and perform this Guaranty.
- (b) The execution, delivery and performance of this Guaranty have been and remain duly authorized by all necessary corporate action and do not contravene the Guarantor's constitutional documents or any contractual restriction binding on the Guarantor or its assets.
- (c) This Guaranty constitutes the legal, valid and binding obligation of the Guarantor enforceable against Guarantor in accordance with its terms, subject, as to enforcement, to bankruptcy, insolvency, reorganization and other laws of general applicability relating to or affecting Counterparty's rights and to general equity principles.
- 11. <u>Limitation by Law.</u> All rights, remedies and powers provided in this Guaranty may be exercised only to the extent that the exercise thereof does not violate any applicable provision of law, and all the provisions of this Guaranty are intended to be subject to all applicable mandatory provisions of law that may be controlling and to be limited to the extent necessary so that they will not render this Guaranty invalid, unenforceable, in whole or in part, or not entitled to be recorded, registered or filed under the provisions of any applicable law.
- 12. <u>Confidentiality</u>. The Counterparty shall keep the existence and the terms of this Guaranty confidential. The Counterparty shall only disclose the existence of this Guaranty to those employees and agents who have a need to know and who agree to keep the existence and terms of this Guaranty confidential.
- 13. GOVERNING LAW. THIS GUARANTY SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF NEW HAMPSHIRE.

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IN WITNESS WHEREOF, Guarantor has caused this Guaranty to be duly executed and delivered by its duly authorized officer effective as of this day of _______, 2012.

Guarantor: Exelon Generation Company, LLC

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Name: Stacie Frank

Title: VP and Treasurer

Certification Statement

STATE OF MARYLAND COUNTY OF BALTIMORE CITY

David Ellsworth, being duly first sworn, deposes and says that he is the Senior Vice President of Constellation NewEnergy, Inc.; that he has authority to submit this application for renewal on behalf of Constellation NewEnergy, Inc.; he has read the foregoing Application for Renewal of Constellation NewEnergy, Inc. to become a Competitive Electric Power Supplier in New Hampshire ("Application"), and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are truthful, accurate and complete to the best of his knowledge, information, and belief

David Ellsworth Senior Vice President

Subscribed and sworn to before me This day of February, 2017.

Notary Public

S. S. OTAR, OTAR,